Patients experience acute illness at all hours of the day. In acute care hospitals, over 60% of patient admissions occur outside of normal business hours, or the “off hours.”1,2 Similarly, the acute decompensation of patients already admitted to hospital-based units is frequent, with 90% of rapid responses occurring between 9 PM and 6 AM.3 Research suggests worse hospital performance during off hours, including increased patient falls, in-hospital cardiac arrest mortality, and severity of hospital employee injuries.2,4–7

Although hospital-based services should match care demand, the disparity between patient acuity and hospital capability at night is significant. Off hours typically have lower staffing of nurses, and attending and housestaff physicians, and ancillary staff as well as limited availability of consultative and supportive services.8 Additionally, off-hours providers are subject to the physiological effects of imbalanced circadian rhythms, including fatigue, attenuating their abilities to provide high-quality care. The significant patient care needs mandate continuous patient care delivery without compromising quality or safety. To achieve this, further defining the barriers to delivering quality care during off hours is essential to improvement efforts in medicine-based units.

Previous investigations have found increased occurrence and severity of worker accidents, increased potential for higher occurrence of preventable adverse patient events, and decreased performance during off hours.4,9,10 Additionally, detrimental effects of off-hours care may be further magnified by rotating employees through both day and night shifts, a common practice in academic hospitals.11,12 Potentially modifiable outcomes, such as patient fall rate and in-hospital cardiac arrest survival differ markedly between day and night shifts.6,13 These studies primarily report on specific diseases, such as myocardial infarction and stroke, and are investigated from the perspective of hospital-level outcomes.

BACKGROUND: Hospital off-hours care is associated with poor outcomes. Mutual conceptualization among provider groups may facilitate improvement efforts. Provider-perceived threats to quality are unreported.

OBJECTIVES: The objectives of this study were to identify perceived off-hours quality and safety issues, assess the most significant, and evaluate differences between nurses, and attending and housestaff physicians, and providers with day and night experience.

DESIGN: Prospective, sequential, exploratory mixed-methods study.

MEASURES: Open-ended descriptions of adverse events/near misses occurring overnight (n = 190) were analyzed using thematic analysis. From these results, a survey was developed to assess perceptions of quality/frequency of each issue (7-point scale, 7 = the highest rating) and highest-quality overnight period (7–10 PM, 10 PM–1 AM, 1–4 AM, 4–7 AM).

RESULTS: Primary issues related to mismanagement, delivery processes, and communication/coordination. Of 214 surveys, 160 responses (75%) were received. Least-optimal issues related to “communication” (2.93) and “timeliness/safety” (3.89) of emergency department transfers; most-optimal issues related to timely lab reporting (4.70). On the 7-point scale, comparisons among nurses, and attending and housestaff physicians revealed differences in quality of “communication between physicians” (4.29 vs 6.00 vs 5.14) and “communication between consultants–primary providers” (3.46 vs 5.75 vs 4.35, P < 0.001). Comparisons between day–night providers revealed lower ratings from day providers in 12/24 items (P < 0.05), including “communication during emergency department transfers” (4.81 vs 3.86). All groups ranked 4 to 7 AM lowest in quality.

CONCLUSIONS: Nurses, and attending and housestaff physicians lack a shared mental model of off-hours care. Several issues, including emergency department transfers and timeliness of consults, were identified by all providers as problematic, meriting further investigation and intervention. Journal of Hospital Medicine 2014;9:756–763. © 2014 Society of Hospital Medicine
To our knowledge, no study has reported provider-perceived quality and safety issues occurring during off hours in an academic setting. Likewise, although off-hours collaborative care requires shared, interprofessional conceptualization regarding care delivery, this perspective has not been reported. Understanding the similarities and differences between provider perceptions will allow the construction of an interprofessional team mental model, facilitating the design of future quality improvement initiatives. Our objectives were to: (1) identify off-hours quality and safety issues, (2) assess which issues are perceived as most significant, and (3) evaluate differences in perceptions of these issues between nurses, and attending and housestaff physicians.

METHODS

Study Design

To investigate quality and safety issues occurring during off hours, we employed a prospective, mixed-methods sequential exploratory study design, involving an initial qualitative analysis of adverse events followed by quantitative survey assessment. We chose a mixed-methods approach because provider-perceived off-hours issues had not been explicitly identified in the literature, requiring preliminary qualitative assessment. For the purpose of this study, we defined off hours as the 7 PM to 7 AM time period, which overlapped night shifts for both nurses and physicians. The study was approved by the institutional review board as a quality improvement project.

Study Setting

The study was conducted at a 378-bed, university-based acute care hospital in central Pennsylvania. There are a total of 64 internal medicine beds located in 2 units: a general medicine unit (44 beds, staffed by 60 nurses, nurse-to-patient ratio 1:4) and an intermediate care unit (20 beds, staffed by 41 nurses, nurse-to-patient ratio 1:3). The medicine residency program consists of 69 residents and 14 combined internal medicine–pediatrics residents. During the day, 3 teaching teams and 1 nonteaching team care for all medicine patients. Overnight, 3 junior/senior level residents admit patients to the medicine service, whereas 2 interns provide cross-coverage for all medicine and specialty service patients. Starting in September 2012 (before data collection), an overnight faculty-level academic hospitalist, or “nocturnist,” provided on-site housestaff supervision.

Qualitative Data Collection

For the qualitative analysis, we used 2 methods to develop our database. First, we created an electronic survey (see Supporting Information, Appendix 1, in the online version of this article) to identify near misses/adverse events occurring overnight, distributed to the nocturnist, 3 daytime hospitalists, and unit charge nurses following each shift (October 2012–March 2013). The survey items were developed for the purpose of this study, with several items modified from a previously published survey. Second, residency program directors recorded field notes during end-of-rotation debriefings (~1 hour) with departing overnight housestaff, which were then dictated and transcribed. The subsequent analysis from these sources informed the quantitative survey (see Supporting Information, Appendix 2, in the online version of this article).

Survey Instrument

Three months after the initiation of qualitative data collection, 1 investigator (J.D.G.) developed a preliminary codebook to identify categories and themes. From this codebook, the research team drafted a survey instrument (the complete qualitative analysis occurred after survey development). To maintain focus on systematic quality improvement, items related to “perceived mismanagement,” “relationship tensions,” and “professionalism” were excluded. The survey was pilot-tested with 5 faculty physicians and 2 nursing staff, prompting several modifications to improve clarity. Primary demographic items included provider role (nurse, attending physician, or housestaff physician) and years in current role. The 24 survey items were grouped into 5 different categories: (1) Quality of Care Delivery, (2) Communication and Coordination, (3) Staffing and Supervision, (4) Patient Transfers, and (5) Consulting Service Issues. Each item was investigated on a 7-point scale (1 = lowest rating, 7 = highest rating). Descriptive text was provided at the extremes (choices 1 and 7), whereas intermediary values (2–6) did not have descriptive cues. The descriptive anchors for Quality of Care Delivery and Patient Transfers were 1 = never and 7 = always, whereas the descriptive anchors Communication and Coordination and Staffing and Supervision were 1 = poor and 7 = superior; Consulting Service Issues used a mix of both. Providers with off-hours experience were asked to rank 4 time periods (7–10 PM, 10 PM–1 AM, 1–4 AM, 4–7 AM) regarding quality of care delivery in the medicine units (1 = best, 4 = worst). We asked both daytime and nighttime providers about perceptions of off-hours care because, given the boundary spanning the nature of medical care across work shifts, daytime providers frequently identify issues not apparent until hours (or even days) after completion of a night shift. A similar design was used in prior work investigating safety at night.

Quantitative Data Collection

In June of 2013, we emailed a survey link (www.surveymonkey.com) to all medicine nurses, and attending and housestaff physicians. The email described the study, explained the voluntary nature of the project, and stated informed consent was implied by survey completion. As an incentive, respondents were provided an option to enter their name into a raffle to win 1 of 50, $5 gift certificates. Following the initial invitation, 3 weekly email reminders were sent by the lead investigator (J.D.G.) requesting completion.
TABLE 1. Quality and Safety Issues Occurring During the Off Hours in Medicine Units as Reported by Medicine Nurses, and Attending and Housestaff Physicians (322 Total Coding References)*

<table>
<thead>
<tr>
<th>Category and Themes</th>
<th>Code Frequency(^{*})</th>
<th>Representative Quotation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Perceived mismanagement</td>
<td>97 (30)</td>
<td>“We had a new admission to the general medicine unit with atrial flutter and rapid ventricular response who did not receive rate controlling agents but rather received diuretics. [The patient’s] heart rate remained between 110 and 130 overnight, with a troponin rise in the 50s likely from demand. The attending note states rate controllers and discussed with housestaff, but this was not performed.”</td>
</tr>
<tr>
<td>Quality of delivery processes</td>
<td>63 (20(^{†}))</td>
<td>“One patient had a delay in MRI scanning in the off hours due to the ‘scanner being down’ and scheduling. When the patient went down, there seemed to be little attempt to make sure patient went through scanner; unclear if housestaff called or not to come to assist. Now, the delay in care is even further along.”</td>
</tr>
<tr>
<td>Communication and coordination</td>
<td>50 (16)</td>
<td>“A patient was transferred to the intermediate care unit with hypercarbic respiratory failure. The patient had delay of &gt; 1 hour to receive IV Bumex because pharmacy would not release the dose from Pyxis, and the nurse did not let us know there was a delay. When I asked the nurse why, she responded ‘because she’s not the only patient I have.’ I pointed out that the patient was in failure and needed Bumex, stat. If we had not clearly communicated either verbally or via computer, she should let us know how to do that better.”</td>
</tr>
<tr>
<td>Staffing and supervision</td>
<td>39 (12)</td>
<td>“A patient was admitted ON/K/O with advanced dementia, new on BiPAP at 100%, and hypotensive. The team’s intern [identified] the need for interventions, including a central line. This was discussed with overloaded intensive care unit resident. The intern struggled until another resident assisted along with the night attending. Issues included: initial triage, no resident backup for team, and attending backup, I should have been more hands on in the moment to assist the intern navigating the system of care. Many issues here, but no senior resident was involved in care until [late].”</td>
</tr>
<tr>
<td>Patient transfers</td>
<td>38 (12)</td>
<td>“One patient went from the emergency department [to us] on the 5th floor at 7:45 am. The ED placed an order for packed red blood cells and it was written at 4:45 am. When patient arrived on our floor at 7:45 am, the transfusion had not been started. The floor nurse started it at 8:10 am.”</td>
</tr>
<tr>
<td>Consulting services</td>
<td>18 (6)</td>
<td>“Regarding a new outside hospital transfer, the medicine team was informed that [the consulting service] would place ‘official’ consult on the chart when imaging studies from the outside institution were available. Despite this, the consult was still not done after 36 hours, and [we are] still waiting. We contacted service several times.”</td>
</tr>
<tr>
<td>Professionalism and relational tensions</td>
<td>17 (5)</td>
<td>“One admission from the emergency department involved a patient who received subcutaneous insulin for hyperkalemia as opposed to intravenous insulin. When brought to [their] attention, they became very confrontational and abrupt and denied having ordered or administered it that way, although it was documented in the EMR.”</td>
</tr>
</tbody>
</table>

NOTE: Abbreviations: BiPAP, bilevel positive airway pressure; DNI, do not intubate; DNR, do not resuscitate; ED, emergency department; EMR, electronic medical record; IV, intravenous; MRI, magnetic resonance imaging; stat, immediately. *Surveys from nursing staff, nocturnists, and daytime attending physicians produced 276 coding references; focus groups with residents produced 46 coding references (total 322). (Code frequency indicates the number of times the specific code was identified or referenced in the analysis. For example, if a particular “communication” issue was discussed in detail, the code may have been referenced more than once. Of the 190 survey-reported events, 74 received > 2 coding references. (Quality of Delivery Processes included issues related to timeliness and delays [34 coding references] and patient safety issues [29 coding references]).

Data Analysis

Using the preliminary codebook, 2 investigators (J.D.G., E.M.) jointly analyzed a segment of the dataset using Atlas.ti 6.0 (Scientific Software, Berlin, Germany). Two investigators independently coded the data, compared codes for agreement, and updated the codebook. The remaining data were coded independently, with regular adjudication sessions to modify the codebook. All investigators reviewed and agreed upon themes and representative quotations.

Descriptive statistics, Pearson correlation statistics, Kruskal-Wallis tests, and signed rank tests (with Bonferroni correction) were used to report group characteristics, correlate rank order, make comparisons between groups (nursing staff, and attending and housestaff physicians; day/night providers), and compare quality rankings by time period, respectively. The data were analyzed using SAS 9.3 (SAS Institute Inc., Cary, NC) and Stata/IC-8 (StataCorp, College Park, TX).

RESULTS

Qualitative Analysis of Off-Hours’ Adverse Events and Near Misses

A total of 190 events were reported by daytime attending physicians (n = 100), nocturnists (n = 60), and nighttime charge nurses (n = 30). Although questions asked participants to describe near misses/adverse events, respondents also reported a number of global quality issues not related to specific events. Similarly, debriefing sessions with housestaff (n = 5) addressed both specific overnight events and residency-related issues. Seven themes were identified: (1) perceived mismanagement, (2) quality of delivery processes, (3) communication and coordination, (4) staffing and supervision, (5) patient transfers, (6) consulting service issues, and, (7) professionalism/relational tensions. Table 1 lists the code frequencies and exemplary quotations.

Perceived Mismanagement

Participants commonly questioned the decision making, diagnosis, or management of off-hours providers. Concerns included the response to acute illness (eg, delay in calling a code), treatment decisions (eg, diuresis in a patient with urinary retention), or omission of necessary actions (eg, no cultures ordered for septicemia).

Quality of Delivery Processes

Participants frequently described quality of care delivery issues primarily related to timeliness or delays in delivery processes (34/63 coding references), or patient safety issues (29/63 coding references). Described
events revealed concerns about the timeliness of lab reporting, imaging, blood draws, and medication ordering/processing.

**Communication and Coordination**

Breakdowns in communication and coordination often threatened patient safety. Identified issues included poor communication between primary physicians, nurses, consulting services, and emergency department (ED) providers, as well as documentation within the electronic medical record.

**Staffing and Supervision**

Several events highlighted staffing or supervision limitations, such as perceived low nursing or physician staffing levels. The degree of nocturnist supervision was polarizing, with both increased and decreased levels of supervision reported as limiting care delivery (or housestaff education).

**Patient Transfers**

Patient transfers to medicine units from the ED, other inpatient units, or outside hospitals, were identified several times as an influential factor. The care transition and need for information exchange led to a perceived compromise in quality or safety.

**Consulting Service Issues**

Several examples highlighted perceived issues related to the communication, coordination, or timeliness of consultant services in providing care.

**Professionalism/Relational Tensions**

Last, providers described situations in which they perceived lack of professionalism or relational tensions between providers, either in regard to interactions or clinical decisions in patient care.

### Quantitative Results

Of 214 surveys sent, data were collected from 160 respondents (75% response), including 64/101 nursing staff (63% response), 25/28 attending physicians (80% response), and 71/85 housestaff physicians (84% response). Table 2 describes the participant demographics.

**Off-Hours Quality and Safety Issues**

Ratings and comparisons of the 24 items are shown in Table 3. For all items, the mean rating was below 5 (7-point scale). Lowest-rated (least optimal) items were: “timeliness, safety, and communication involved with patients admitted from the ED,” “number of attending physicians,” and “timeliness of consults” and “blood draws.” Highest-rated (more optimal) items were: “timely reporting of labs,” “timely identification of deteriorating status,” “medication ordering and processing,” “communication between physicians,” and “safety and communication” involved with intraservice transfers.

**Comparisons Between Professional Groups With Night Experience**

Of the 24 items, 11 showed statistically significant differences between groups ($P < 0.05$). Items with the largest difference between groups included: “timely blood draws at night” (housestaff physicians lowest), “communication between physicians” (nursing lowest), “documentation in medical record” (housestaff physicians lowest), and “communication between consults and physicians” (nursing lowest). The rank order between housestaff physicians and nurses, and housestaff and attending physicians showed moderately positive correlations ($r = 0.61, P = 0.002$ and $r = 0.47, P = 0.022$, respectively). The correlation between nurses and attending physicians showed a weak correlation ($r = 0.19, P = 0.375$).

**Comparisons Between Front-Line Providers With and Without Night Experience**

Of the 24 items, 12 showed statistically significant differences between groups ($P < 0.05$), with day providers reporting lower ratings in all 12. Items with the largest difference between groups included: “communication between consults and physicians,” “ease of contacting providers,” “communication between providers,” “documentation,” and “safety and communication” related to transfers from other units. The rank order between night and day groups showed a statistically significant positive correlation ($r = 0.65, P = 0.001$).

**Perceived Highest Quality of Care Time Period During Off Hours**

Compared with other time periods, all providers ranked 4 to 7 AM as the period with the lowest quality of care delivery (mean rank 3.2, $P \leq 0.001$) (Figure 1).
Nursing staff and attending physicians both ranked the 10 PM to 1 AM time period as the best period (mean of 2.0 and 1.5, respectively), whereas housestaff physicians ranked the 7 to 10 PM as the best period (mean 1.62). The only statistical difference regarding documentation in the medical record was between group for any given time period (mean 1.62).  

**DISCUSSION**

In this prospective, mixed-methods study evaluating perceived off-hours quality and safety issues, several themes were identified, including perceived mismanagement, insufficient quality of delivery processes, communication/coordination breakdowns, and staffing and supervision issues. In the quantitative analysis, lowest-rated items (lowest quality) related to timeliness/safety/communication involved with ED transfers, number of attending physicians, and timeliness of consults and blood draws. Highest-rated items (highest quality) related to timeliness of lab reporting and identification of deteriorating patients, medication ordering/processing, communication between physicians, and safety/communication during intraservice transfers. In general, day providers reported lower ratings than night providers on nearly all quality-related items. Nursing staff reported the lowest ratings regarding communication between physicians and consults, whereas housestaff physicians reported the lowest ratings regarding documentation in the medical record and timely blood draws. These between-group differences reveal the lack of shared conceptual understanding regarding off-hours care delivery.

Our qualitative results reveal several significant issues related to care delivery during off hours, many of which are not obtainable by hospital-level data or chart review. For hospital-based medicine units, an understanding of the structure- and process-related factors associated with events is required for quality improvement efforts. Although the primary focus for this work was the off hours, it is plausible that providers may have identified similar issues as important issues during daytime hours. Our study was not designed to investigate if these perceived issues are specific to off hours, or if these issues are an accurate
reflection of objective events occurring during this time period. We believe this topic deserves further investigation, as understanding if these off-hours perceptions are unique to this time period would change the scope of future quality improvement initiatives.

The most significant finding in the quantitative results was the vulnerability in quality and safety during patient admissions from the ED, specifically in relation to communication and timeliness of transfer. Between-unit handoffs for patients admitted from the ED to medicine units have been identified as particularly vulnerable to breakdowns in the communication process. There are multiple etiologies, including clinical uncertainty, higher acuity in patient illness early in hospitalization, and cultural differences between services. Additionally, patterns of communication and standardized handoff processes are often insufficient. In our hospital system, the transfer process relies primarily upon synchronous communication methods without standardized, asynchronous information exchange. We hypothesize front-line providers perceive this lack of standardization as a primary threat to quality. Because approximately 60% of new patient admissions from the ED to medicine service (both in our hospital and in prior studies) occur during off hours, these findings highlight a need for subsequent study and quality improvement efforts.

During the time of this study, our medicine units were staffed at night by 5 medicine housestaff physicians and 1 academic hospitalist, or nocturnist. In efforts to improve quality and safety during off hours, our hospital, as well as other health systems, implemented the nocturnist position, a faculty-level attending physician to provide off-hours clinical care and housestaff supervision. Although participants reported a moderate rating of housestaff supervision, participants provided lower scores for staffing numbers of nurses, and housestaff and attending physicians, despite nocturnist presence. With both increased off-hours supervision in our hospital and increasing use of faculty-level physicians in other academic programs, these results provide context for the anticipated level of overnight housestaff supervision. To our knowledge, this is the first study to investigate perceived overnight quality issues on medicine units following such staffing models. Although this model of direct, on-site supervision in academic medicine programs may help offset staffing and supervisory issues during off hours, the nocturnist role is insufficient to offset threats to quality/safety already inherent within the system. Furthermore, prospective trials following implementation of nocturnist systems have shown mixed results in improving patient outcomes. These findings have led some to question whether resources dedicated to nocturnist staffing may be better allocated to other overnight initiatives, highlighting the need for a more subtle understanding of quality issues to design targeted interventions.

A notable finding from this work is that providers without night experience reported lower scores for 20

FIG. 1. Comparison of mean rankings of quality within specific time periods during the night shift as reported by off-hours providers—nursing staff, and attending and housestaff physicians (N = 116).
of 24 items, highlighting their perceptions of the quality of care delivery during off hours are lower than those who experience this environment. Although day providers are not directly experiencing off-hours delivery processes, these providers receive and detect the results from care delivery at night. 17 Most nurse, physician, and hospital leaders are present in the hospital only during day hours, requiring these individuals to account for differences in perceived and actual care delivered overnight. 11 These individuals make critical decisions pertaining to process changes and quality improvement efforts in these units. We believe these results raise awareness for leadership decisions and quality improvement efforts in medicine service units, specifically to focus on overnight issues beyond staffing issues alone.

All respondent groups ranked the latter half of the shift (1–7 AM) as lower in quality compared to the first 6 hours (7 PM–1 AM). This finding is contrary to our hypothesis that earlier time periods, during the majority of patient admissions (and presumed higher workload for all providers), would be perceived as lower quality. Reasons for this finding are unknown, but may relate to end-of-shift tasks, sign-out preparation, provider fatigue, or disease-related concerns (eg, increased incidence of stroke and myocardial infarction) during the latter portions of night shifts. One study identified a decrease in nursing clinical judgments from the beginning to end of 12-hour shifts, with a potential suggested mechanism of decrease in ability to maintain attention during judgments. 30 Additionally, in a study by Folkard et al., risk was highest within the first several hours and fell substantially thereafter during a shift. 31 To our knowledge, no work has investigated perceived or objective quality outcomes by time period during the off-hours shift in medicine units. Further work could help delineate why provider-perceived compromises in quality occur late in off-hours shifts and whether this correlates to safety events.

There are several limitations to our study. First, although all surveys were pilot tested for content validity, the construct validity was not rigorously assessed. Second, although data were collected from all participant groups, the collection methods were unbalanced, favoring attending-level physician perspectives. Although the relative incidence of vulnerabilities in quality and safety should be interpreted with caution, our methods and general taxonomy provide a framework for developing and monitoring the perceptions of future interventions. Due to limitations in infrastructure, our findings could not be independently validated through review of reported adverse events, but previous investigations have found the vast majority of adverse events are not detected by standard anonymous reporting.31–33 Our methodology (used in our prior work) may provide an independent means of detecting causes of poor quality not easily observed through routine surveillance.22 Although many survey items showed statistical differences between provider groups, the clinical significance is subject to interpretation. Last, the perceptions and events related to our institution may not be fully generalizable to other academic programs or service lines, particularly in community-based, nonteaching hospitals.

In conclusion, our results suggest a significant discrepancy between the concerns of day and night providers regarding the quality of care delivered to inpatients during the off hours, specifically with issues related to communication, quality-of-care delivery processes, and patient transfers from the ED. Although specific concerns may be institution- and service line-dependent, appropriately designing initiatives to improve the quality of care delivered overnight will need to take the perspectives of both provider groups into account. Additionally, educational initiatives should focus on achieving a shared mental model among all providers to improve collaboration and performance.

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References


